

DM 18-151



October 4th, 2018

New Hampshire Public Utilities Commission
Executive Director
21 South Fruit Street, Suite 10
Concord, NH 03301-2429

SUBJECT: Electric Load Aggregation License Renewal Application – ~~DM-13-299~~ NHPUC OCT 18 10:04

Dear Executive Director, NHPUC:

Enclosed please find the following documentation for the renewal our Electric Load Aggregator License DM-13-299 for SourceOne, Inc. (DE) for December 6, 2018 - December 5, 2023 submitted at least 60 days prior to the expiration of our current registration on or before October 6, 2018:

- Original of the Renewal Application for Electric Load Aggregation Service
- Two (2) copies of Application
- \$125 Renewal fee

In addition to this hard copy version, a PDF copy has been emailed to executive.director@puc.nh.gov as required. If you have any questions, please do not hesitate to call me at 617.691.1404. Thank you!

--
Sincerely,

Jessie Hagger (jhagger@s1inc.com)

Commodities Account Manager & Markets Analyst



617.691.1404 (direct)
53 State Street, 12th Floor | Boston, MA 02109
www.sourceone-energy.com



Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name	SourceOne, Inc. (DE)
	Trade Name (d/b/a) (if applicable)	SourceOne Energy, Inc.
Puc 2006.02(b)	Business Mailing Address	53 State Street, 12 th Floor Boston, MA 02109
	Telephone Number	617-691-1404
	E-Mail Address	jhagger@s1inc.com
	Website Address (if applicable)	www.sourceone-energy.com
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 2006.02(c)	Name	Please see Attachment A
	Title	
	Business Mailing Address	
	Telephone Number	- -
	E-Mail Address	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	- -
	Email Address	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	- -
	E-Mail Address	

¹ "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Customer Service Contact		
Puc 2006 02(d)	Name	Brant Davis
	Title	VP, Commodity Management
	Telephone Number	617-849-6600
	Toll-Free Telephone Number (if available)	- -
	E-Mail Address	bdavis@s1inc.com

Customer Complaints Contact		
Puc 2006 02(e)(1)	Name	Brant Davis
	Title	VP, Commodity Management
	Business Mailing Address	53 State Street, 12 th Floor
		Boston, MA 02109
	Telephone Number	617-849-6600
E-Mail Address	bdavis@s1inc.com	

Regulatory Compliance Matters Contact		
Puc 2006 02(e)(2)	Name	Jessie Hagger
	Title	Commodities Account Manager & Markets Analyst
	Business Mailing Address	53 State Street, 12 th Floor
		Boston, MA 02109
	Telephone Number	617-399-1404
E-Mail Address	jhagger@s1inc.com	

Commission Assessment Payments Contact		
Puc 2006 02(e)(3)	Name	Meghan Buckley
	Title	Financial Analyst
	Business Mailing Address	53 State Street, 12 th Floor
		Boston, MA 02109
	Telephone Number	617-399-6141
E-Mail Address	mbuckley@s1inc.com	

Separate Attachments: Business Authority and Trade Name	
Puc 2006 02(f)	<p>Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or Attachment B</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.</p>
Puc 2006 02(g)	<p>Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or Attachment B</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.</p>

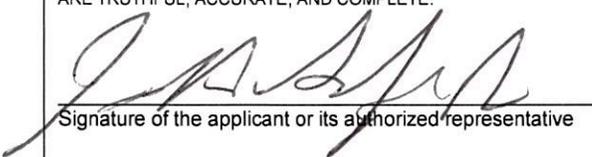


Statements Regarding Applicant and its Principals		
Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006 02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006 02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006 02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006 02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006 02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.		

Other States		
Puc 2006 02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	NJ, IL, MA, OH, RI, MD, DE, DC, PA, ME

Statement Regarding Supplier Representation		
Puc 2006 02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	No
If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.		

Expected Marketing Start Date		
Puc 2006 02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	UPON APPROVAL Date _____

Attestation and Signature		
Puc 2006 02(l) and (m)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>Signature of the applicant or its authorized representative</p> <p>Name: JOHN A. GRIFFIN, JR</p> <p>Title: VP & GM - BOSTON</p>	<p>21 SEP 9 2018</p> <p>Date</p>

Filing Instructions		
<p>1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: <u>Executive.Director@puc.nh.gov</u></p>		



New Hampshire Public Utilities Commission
21 South Fruit Street, Suite 10 Concord, NH 03301-2429
603-271-2431
www.puc.nh.gov

Electric Aggregator Registration
Puc 2006 02
Rev. 9/17/2018
Page 4 of 4

Attachment A – Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant’s principal(s), if the applicant is anything other than an individual.

Name	Title	Address	Phone No.	Email Address
Arendell, Robert	Director	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	robert.arendell@veolia.com
DiCroce, William	Director	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	bill.dicroce@veolia.com
Salgo, Jason	Director	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	jason.salgo@veolia.com
Almeida, Steven	Assistant Treasurer	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	steven.almeida@veolia.com
Arendell, Robert L.	Executive Vice President (General Counsel)	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	robert.arendell@veolia.com
Byrnes, Michael	Senior Vice President	7 Penn Plaza, Suite 704 New York, NY 10001	(212) 612-7600	mbyrnes@s1inc.com
Callan, William	Vice President	7 Penn Plaza, Suite 704 New York, NY 10001	(212) 612-7600	wcallan@s1inc.com
DiCroce, William	President & CEO	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	bill.dicroce@veolia.com
Ferrara, Francis X.	Vice President & Secretary	120 Water Street, Suite 212 North Andover, MA 01845	(978) 725-0030	frank.ferrara@veolia.com
Griffin, Jack	Vice President	53 State Street, 12th Floor Boston, MA 02109	(617) 849-6600	jgriffin@s1inc.com
Lynch, Kathleen	Assistant Secretary	120 Water Street, Suite 212 North Andover, MA 01845	(978) 725-0030	katie.lynch@veolia.com
Mahoney, Daniel	Vice President & Assistant Secretary	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	daniel.mahoney@veolia.com
Gibson, John	Executive Vice President & COO	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	john.gibson@veolia.com
Salgo, Jason	Executive Vice President, CFO & Treasurer	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	jason.salgo@veolia.com
Schoen, Louis	Vice President	7 Penn Plaza, Suite 704 New York, NY 10001	(212) 612-7600	lschoen@s1inc.com
Fawcett, Whitney	Assistant Secretary	120 Water Street, Suite 212 North Andover, MA 01845	(978) 725-0030	whitney.fawcett@veolia.com
Weafer, Steven	Vice President-Controller	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	steven.weafer@veolia.com
Sullivan, Brian	Assistant Treasurer	53 State Street, 14th Floor Boston, MA 02109	(617) 849-6600	brian.sullivan@veolia.com
Vosburg, Martin	Assistant Treasurer	125 S 84 th St, Suite 175 Milwaukee, WI 53214	(414) 479-7800	martin.vosburg@veolia.com

Attachment B

[\(/online/Home/\)](#)  Back to Home [\(/online\)](#)

Business Information

Business Details

Business Name: SOURCEONE ENERGY	Business ID: 655812
Business Type: Trade Name	Business Status: Active
Expiration Date: 7/29/2021	Last Renewal Date: Not Available
Business Creation Date: 07/29/2011	Name in State of Formation: Not Available
Date of Formation in Jurisdiction: 07/29/2011	
Principal Office Address: 53 State Street, 14th Floor, Boston, MA, 02109, USA	Mailing Address: 120 Water Street #212, North Andover, MA, 01845, USA
Business Email: khensey@slinc.com	Phone #: 617-399-6153
Notification Email: NONE	Fiscal Year End Date: NONE

Principal Purpose

S.No	NAICS Code	NAICS Subcode
1	OTHER / to provide power management services	

Page 1 of 1, records 1 to 1 of 1

Trade Name Information

Business Name	Business ID	Business Status
---------------	-------------	-----------------

Trade Name Owned By

Name	Title	Address
SourceOne Energy (/online/BusinessInquire/TradeNameInformation?businessID=476110)	Business	Good Standing

Trademark Information

Trademark Number	Trademark Name	Business Address	Mailing Address
No records to view.			

[Filing History](#)

[Address History](#)

[View All Other Addresses](#)

[Businesses Linked to Registered Agent](#)

[Return to Search](#)

[Back](#)

NH Department of State, 107 North Main St. Room 204, Concord, NH 03301 -- [Contact Us](#)

[\(/online/Home/ContactUS\)](#)

Version 2.1 © 2014 PCC Technology Group, LLC, All Rights Reserved.

[\(/online/Home/\)](#)  [Back to Home \(/online\)](#)

Business Information

Business Details

Business Name: SOURCEONE ENERGY	Business ID: 655814
Business Type: Foreign Profit Corporation	Business Status: Good Standing
Business Creation Date: 07/29/2011	Name in State of Incorporation: SOURCEONE, INC. (DE)
Date of Formation in Jurisdiction: 07/29/2011	
Principal Office Address: 53 State Street, 14th Floor, Boston, MA, 02109, USA	Mailing Address: 53 State Street, 14th Floor, Boston, MA, 02109, USA
Citizenship / State of Incorporation: Foreign/Delaware	
	Last Annual Report Year: 2018
	Next Report Year: 2019
Duration: Not Stated	
Business Email: whitney.fawcett@veolia.com	Phone #: 617-399-6153
Notification Email: NONE	Fiscal Year End Date: NONE

Principal Purpose

S.No	NAICS Code	NAICS Subcode
1	OTHER / To provide power management services.	

Registered Agent Information

Name: C T Corporation System

Registered Office Address: 2 1/2 Beacon Street, Concord, NH, 03301 - 4447, USA

Registered Mailing Address: 2 1/2 Beacon Street, Concord, NH, 03301 - 4447, USA

Trade Name Information

Business Name	Business ID	Business Status
SourceOne Energy (/online/BusinessInquire/TradeNameInformation? businessID=480231)	655812	Active

Trade Name Owned By

Name	Title	Address
No records to view.		

Trademark Information

Trademark Number	Trademark Name	Business Address	Mailing Address
No records to view.			

- [Filing History](#)
 - [Address History](#)
 - [View All Other Addresses](#)
 - [Name History](#)
 - [Shares](#)
- [Businesses Linked to Registered Agent](#)
 [Return to Search](#)
 [Back](#)

NH Department of State, 107 North Main St. Room 204, Concord, NH 03301 -- [Contact Us \(/online/Home/ContactUS\)](#)

Version 2.1 © 2014 PCC Technology Group, LLC, All Rights Reserved.